

Attorney Docket: 1836

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE MAY 0 7 2003

TECH CENTER 1600/2900

Applicant:

MASAHIRO IMOTO ET AL.

Serial No.:

09/933,717

Group Art Unit:

1624

Filed:

AUGUST 22, 2001

Examiner:

LIU, HONG

Title:

HETEROCYCLIC COMPOUNDS HAVING EFFECT OF

ACTIVATING NICOTINIC ACETYLCHOLINE ALFA4 BETA2

RECEPTOR

PETITION UNDER 37 C.F.R. § 1.182

Commissioner for Patents Washington, D.C. 20231

Sir:

Pursuant to the provisions of 37 C.F.R. § 1.182, applicants hereby petition the Commissioner to convert this application from a regular U.S. Application under 35 U.S.C. § 111, to a PCT National Phase application under 35 U.S.C. § 371.

This application was inadvertently filed with the wrong transmittal letter and was, therefore, accepted by the PTO as a regular national filing under 35 U.S.C. § 111. It should have been filed with a PCT transmittal letter indicating that it is a National Phase filing under 35 U.S.C. § 371.

The application was filed on August 22, 2001 and was complete with a declaration and power of attorney as well as a filing fee. The original declaration indicated that the application was a PCT International Application filed March

4/28/2003 RHARIS1 00000029 09933717

130.00 UP

Adjustment date: 08/22/2003 GFREY1 04/28/2003 RHARIS1 00000029 09933717 01 FC:1460 -130.00 OP RECEIVED

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1, 2000 (number PCT/JPOO/01190) and relied on a Japanese priority of March 5, 1999. A check for a filing fee as a regular U.S. National Application was included, but there was also included an authorization to charge our deposit account for any additional fees. Thus, in fact, all the requirements of 35 U.S.C. § 371 were met on that date. The error was made by inadvertence and without deceptive intent.

The error was only just discovered when a first action on the merits was received and the file was reviewed.

Under the circumstances, it is respectfully requested that the application be treated as a PCT National Phase application under 35 U.S.C. § 371 and the respective files be combined. A check for a petition fee of \$130 is enclosed. Any additional petition fees or filing fees may be charged to Deposit Account No. 05-1323 (Docket No. 1830/50325).

If there are any questions regarding this amendment or the application in general, a telephone call to the undersigned would be appreciated since this should expedite the prosecution of the application for all concerned.

If necessary to effect a timely response, this paper should be considered as a petition for an Extension of Time sufficient to effect a timely response, and

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please charge any deficiency in fees or credit any overpayments to Deposit Account No. 05-1323 (Docket #1830/50325).

Respectfully submitted,

Herbert I. Cantor

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